Annex 1

to the Norwegian Financial Mechanism 2014-2021

Programme “Business Development, Innovation and SMEs” Call for Proposals under Green Industry Innovation, Including Bio-Economy, Focus Area

**TABLE FOR EVALUATION OF ELIGIBILITY CRITERIA OF A PROJECT**

|  |  |
| --- | --- |
| **Application unique number** |  |
| **Name of an Applicant** |  |
| **Name of a project** |  |
| **A project is to be implemented:***A relevant box is checked during evaluation of eligibility criteria.*  □**with a partner (-s)**□**without a partner (-s)** | |
| □**ORIGINAL**□ **REVISED**  *(The box „Revised“ is checked in case the table is revised after the application has been submitted for re-evaluation.* | |

| **CRITERION** | **QUESTIONS FOR EVALUATION** | **YES/NO** | **COMMENTS** |
| --- | --- | --- | --- |
| ***I. Formal assessment criteria*** | |  |  |
| 1. The declaration of a project promoter and partner (- s) is submitted | 1.1. An applicant on his behalf and behalf of his partner (-s) has submitted a declaration equivalent to an application form; |  |  |
| 1.2. The submitted declaration certifies observance of administrative compliance requirements. |  |  |
| 2. All the annexes specified in the Call are submitted | 2.1. All the annexes, indicated as compulsory in the Call, are submitted together with an application. |  |  |
| ***II. General eligibility criteria*** | |  |  |
| 1. An applicant and project partner (-s) are eligible under the Call | 1.1. An applicant meets eligibility requirements set for an applicant under the Call. |  |  |
| 1.2. A partner (-s) meets eligibility requirements set for a partner under the Call. |  |  |
| 2. Consistency of intervention logic of a project is maintained | 2.1. Intervention logic of a project is maintained, i. e. project results derive from project activities, project activities are directly linked to project tasks, project tasks are linked to achievement of set goals. Project tasks are specific (they reveal the essence and characteristic of the project), measurable (expressed in quantifiable amounts, calculable) and achievable. |  |  |
| 3. Project contributes to outcome indicators of the Programme | 3.1. Is the project aiming at achieving the outcome indicators specified in the Call? |  |  |
| 4. Project duration and its implementation plan are justified | 4.1 Is a project implementation plan compatible with the volume of project activities, realistic and rationally planned? Is the expected duration of the project in line with the requirements specified in the Call? |  |  |
| 5. Justification of partnership | 5.1. Is partnership envisaged in the project? |  |  |
| 5.2. Is the partnership (in case it is envisaged) in the course of implementation of the project justified and beneficial? |  |  |
| 5.3. Does a document certifying partnership (a draft of partnership agreement, a signed partnership agreement, a letter of intent, etc.) comply with the requirements specified in the Call? |  |  |
| 6. Project compliance with a good governance principle | 6.1. Aren’t there any activities envisaged in the project that might negatively affect implementation of a good governance principle? |  |  |
| 6.2. Are there any specific activities envisaged (measures) to foster implementation of a good governance principle? (not applicable) |  |  |
| 7. Project compliance with a sustainability principle | 7.1. Does the project exclude the activities that might have a negative impact on implementation of a sustainability principle? |  |  |
| 7.2. Are there any specific activities (measures) envisaged to foster implementation of a sustainability principle? |  |  |
| 8. Project compliance with gender equality and non-discrimination principles | 8.1. Aren’t there any restrictions envisaged in the project, which would have a negative impact on implementation of gender equality and non-discrimination principles? |  |  |
| 8.2. Are there any specific activities (measures) offered which show that the project is aiming to contribute to implementation of gender equality and non-discrimination principles? (not applicable) |  |  |
| 9. Project compliance with the EU competition policy | 9.1. The grant does not exceed *de minimis* aid limits and is in line with the requirements applicable for *de minimis* aid. |  |  |
| 9.2. The project is funded under an approved state aid scheme, the European Commission decision or is in line with the requirements set by the European Commission in General Block Exemption Regulation. |  |  |
| 9.3. Funding provided for the project cannot be perceived as illegal state or *de minimis* aid. |  |  |
| 10. Applicant’s and/or partner’s (partners’) own financial contribution is ensured | 10.1. Is an applicant’s and/or partner’s (partners’) own contribution in line with the requirements set in the Call and is this own financial contribution ensured? |  |  |
| 11. Covering of ineligible expenses is ensured | 11.1. Does an applicant and (or) a partner (-s) ensure to cover ineligible expenses which are crucial for implementation of the project? (Applicable if ineligible expenses are envisaged) |  |  |
| 12. Project continuity is ensured | 12.1. Is funding of the project and continuity of its results ensured? (If applicable) |  |  |
| 13. Planned expenditures are eligible, necessary and justified | 13.1. Do project activities and expenses, which are planned during project implementation, fall under eligible for funding activities and expenses? Is their volume in line with the requirements? Are predicted expenses necessary for implementation of the project? |  |  |
| 13.2. Are expenses planned effectively and reasonably? |  |  |
| 14. Grant and co-financing amounts | 14.1. Aren’t the sum of a grant and co-financing smaller or bigger than the minimum and maximum amounts specified in the Call? |  |  |
| 15. Project Communication plan is in line with the requirements stated in the Call | 15.1. Are publicity measures in conformity with the requirements stated in the Call? |  |  |
| 15.2. Is all the necessary information about publicity measures, as it is required in the Call, provided? |  |  |
| 16. Applicant’s and/ or partner’s (partners’) administrative capabilities to implement a project are ensured | 16.1. An applicant and (or) partner (-s) have sufficient administrative capabilities to implement a project. |  |  |
| ***III. Specific Compliance Criteria*** | |  |  |
| A project is in line with the provisions stipulated in the Directive (EU) 2019/904 of the European Parliament and of the Council of 5 June 2019 on the reduction of the impact of certain plastic products on the environment |  |  |  |
| A product or technology shall not be at lower than level 4 of research and development activities, as it is described in the Guidelines on a Proposed Classification of Levels of Research and Development Activities, approved by the decision of the Government of the Republic of Lithuania by 6 June 2012 No. 650. |  |  |  |

**CONCLUSIONS ON EVALUATION OF ELIGIBILITY CRITERIA OF A PROJECT**

**1)   An application has been evaluated positively in accordance with all the formal assessment criteria, general eligibility criteria and specific compliance criteria:**

□ Yes                                              □ No                                                     □ Conditional Yes

Comments: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**2)   An Applicant has not tried to obtain information recognized by MITA as confidential and has not attempted to exert impact on the institution carrying out evaluation of applications:**

□ Yes, has not tried

□ No, has tried

Comments: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

*(To be filled only if the answer is „No, has tried“, i. e. providing facts.)*

**3)   Eligible expenditure of a project, as determined during eligibility criteria assessment:**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **The total value of a project (includes eligible and ineligible expenses), Eur** | **The maximum amount of eligible expenditure for a project:** | | | | | | |
| **Total, Eur** | **Out of them them:** | | | | | |
| **Requested amount of funding- up to, Eur** | | **Part out of eligible expenses, %** | **Applicant’s and partner’s (-s’) own funds, Eur** | **Part out of eligible expenses, %** | |
| 1 | 2 | 3 | 4=(3/2)\*100 | | 5 | | 6=(5/2)\*100 |
|  |  |  |  | |  | |  |

**Comments:**

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| --- |
|  |

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_                         \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_                 \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(job position of a person from the institution

responsible for evaluation of an application) (data)               (Name and surname, signature, if a paper version is being filled) in)